

# Neurofilament light chain predicts risk of recurrence in cerebral amyloid angiopathy-related intracerebral hemorrhage

Xin Cheng<sup>1,\*</sup>, Ya Su<sup>1,\*</sup>, Qiong Wang<sup>2,3</sup>, Feng Gao<sup>3</sup>, Xiaofei Ye<sup>4</sup>, Yiqing Wang<sup>1</sup>, Yiwei Xia<sup>1</sup>, Jiayu Fu<sup>1</sup>, Yong Shen<sup>3,5</sup>, Rustam Al-Shahi Salman<sup>6</sup>, Qiang Dong<sup>1</sup>

<sup>1</sup>Department of Neurology, National Clinical Research Centre for Aging and Medicine, Huashan Hospital, Fudan University, Shanghai, China

<sup>2</sup>Department of Neurology, First Affiliated Hospital of University of Science and Technology of China, Hefei, China

<sup>3</sup>Neurodegenerative Disorder Research Centre and Institute on Aging and Brain Disorders, University of Science and Technology of China, Hefei, China

<sup>4</sup>Department of Health Statistics, Second Military Medical University, Shanghai, China

<sup>5</sup>Centre for Excellence in Brain Science and Intelligence Technology, Chinese Academy of Sciences, Shanghai, China

<sup>6</sup>Centre for Clinical Brain Sciences, University of Edinburgh, Edinburgh, UK

\*Equal contribution

**Correspondence to:** Qiang Dong; **email:** [dong\\_qiang@fudan.edu.cn](mailto:dong_qiang@fudan.edu.cn)

**Keywords:** cerebral amyloid angiopathy, blood biomarkers, intracerebral hemorrhage, recurrence, neurofilament light chain

**Received:** April 6, 2020

**Accepted:** August 1, 2020

**Published:** November 18, 2020

**Copyright:** © 2020 Cheng et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/3.0/) (CC BY 3.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

## ABSTRACT

**Predicting recurrent intracerebral hemorrhage (ICH) related to cerebral amyloid angiopathy (CAA) currently relies on brain images. We aimed to investigate whether blood neurodegenerative biomarkers predict disease severity and ICH recurrence in CAA. We recruited 68 first probable CAA-ICH cases from a Chinese prospective cohort, and 95 controls. We used the single-molecule array to measure acute phase blood amyloid-40, amyloid-42, total tau and neurofilament light chain (NfL). We used multivariable Cox regression models to assess the association between blood biomarkers and CAA-ICH recurrence, and used the concordance (c-) index to assess prediction models. Blood amyloid-42/40, total tau, and NfL levels changed in CAA-ICH cases than controls. During a median follow-up of 2.4 years, NfL was associated with CAA-ICH recurrence (adjusted hazard ratio 2.14, 95% CI 1.57-2.93) independent of MRI burden of small vessel disease (SVD). The performance of a model to predict CAA-ICH recurrence using MRI burden of SVD alone (c-index 0.77) increased with the addition of NfL (c-index 0.88, 95% CI 0.73-1.00, p=0.019). Further, NfL was associated with baseline ICH volume, NIHSS and 6-month mRS score. Blood NfL is associated with severity and prognosis of CAA-ICH and is a promising addition to MRI burden of SVD to predict CAA-ICH recurrence.**

## INTRODUCTION

Cerebral amyloid angiopathy (CAA) is one of the leading causes for spontaneous lobar intracerebral hemorrhage (ICH) in the elderly. Patients with CAA show substantially higher risks of recurrent ICH at a rate of 6.9 - 10% per year [1–3] compared with deep ICH of about 3% per year [4], leading to subsequent disability and mortality. So far, the prediction of ICH recurrence and

disease severity for CAA mostly depends on brain imaging features, including the number of previous ICH and cerebral microbleeds (CMBs), posterior white matter hyperintensities (WMH) [1, 5] and cortical superficial siderosis (cSS), among which cSS is most clinically relevant [2, 3]. However CAA-related MRI features represent vascular endpoints of CAA rather than neurodegenerative processes that might influence disease progression and recurrence risk [6].

Growing evidence suggests the interplay between vascular damage and neurodegeneration in Alzheimer's disease and CAA [7, 8]. Molecular biomarkers, especially blood-based, may provide an easy approach in directly measuring the underlying processes [9, 10]. However, the utilities of circulating biomarkers for CAA are still underexplored except for the diagnostic value of APOE genotype,  $\beta$ -amyloid ( $A\beta$ ) 42 and  $A\beta$ 40. APOE  $\epsilon$ 4 possession has strong association with CAA pathology and helps diagnose CAA-ICH [11], but may not be generally available worldwide. The decreased cerebrospinal fluid  $A\beta$ 42,  $A\beta$ 40 and total tau serve as biomarkers for distinguishing CAA from controls [6], while the diagnostic utilities of blood  $A\beta$  and tau are still controversial [12, 13]. As a structural scaffolding protein in the brain, neurofilament light chain (NfL) is highly specific for axonal injury and eventual neuronal cell death and shows prognostic values in various central nervous system diseases [14], which has not been well studied in CAA. Therefore, we hypothesized that neurodegenerative biomarkers might predict further vascular damage. We aimed to examine whether blood biomarkers of  $A\beta$ 42,  $A\beta$ 40, total tau and NfL in CAA indicate disease severity and predict prognosis.

## RESULTS

A total of 144 cases with primary lobar ICH were admitted in two independent hospitals in China during the study period, and finally 68 cases with first-ever

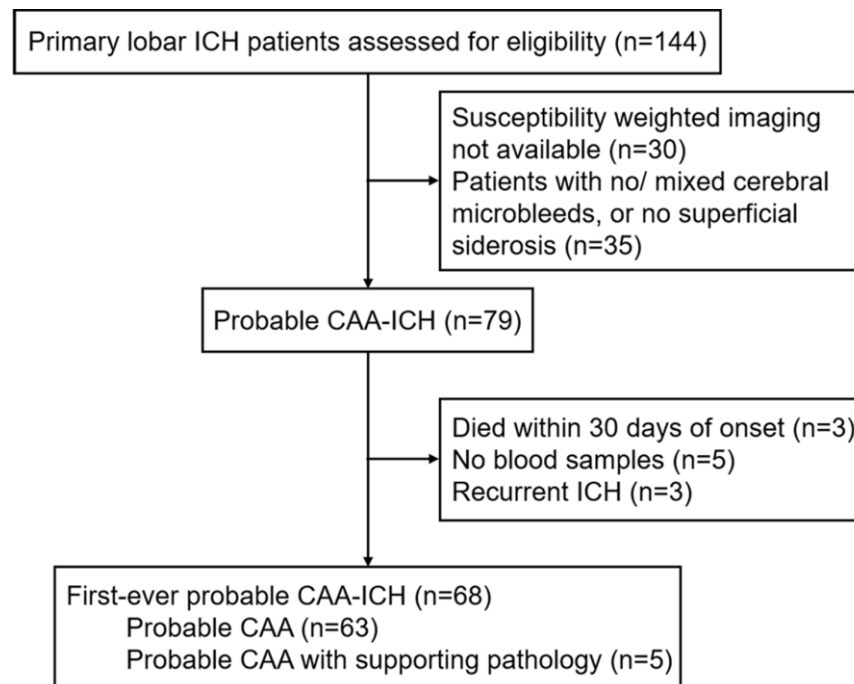
probable CAA-ICH (Figure 1) and 95 healthy elder controls were included in the study.

### Study population

The demographic data, clinical manifestations and imaging characteristics of healthy controls, and cases with CAA-ICH overall and according to lobar ICH recurrence were shown in Table 1. For cases with CAA, the mean  $\pm$  standard deviation (SD) age was 70.1 $\pm$ 9.1 years and 73.5% were males. During a median (interquartile range [IQR]) follow-up of 2.4 (1.3-4.0) years, 19 (27.9%) patients had recurrent lobar ICH without deep ICH. The ICH recurrence was associated with older age, higher degree of periventricular spaces in the centrum semiovale (CSO-PVS) and heavier total MRI burden of small vessel disease (SVD), but not lobar CMBs count, cSS presence or extent, or WMH Fazekas score in univariable Cox regression analyses in our cohort (Table 2).

### Comparisons of blood biomarkers between CAA-ICH cases and controls

Compared to healthy controls, cases with CAA-ICH showed elevated NfL (median [IQR], 14.3 [10.3-20.4] vs. 70.1 [22.3-320.0] pg/ml,  $p < 0.001$ ) and total tau (median [IQR], 2.9 [2.2-4.1] vs. 3.7 [2.6-4.8] pg/ml,  $p = 0.011$ ) levels, and decreased  $A\beta$ 42/ $A\beta$ 40 (mean  $\pm$  SD, 0.047 $\pm$ 0.015 vs. 0.043 $\pm$ 0.006,  $p = 0.035$ ) levels,



**Figure 1. Study flow chart.** Abbreviations: ICH, intracerebral hemorrhage; CAA, cerebral amyloid angiopathy.

**Table 1. Demographic, clinical and imaging data and blood biomarkers of healthy controls and cases with probable CAA-ICH (subdivided according to ICH recurrence).**

Variables	Healthy controls	First-ever probable CAA-ICH	P Value	CAA with lobar ICH recurrence	
	(n=95)	(n=68)		No (n=49)	Yes (n=19)
Age of onset, y	69.0 ± 8.3	70.1 ± 9.1	0.43	68.5 ± 9.5	74.1 ± 6.9
Sex, male	63 (66.3)	50 (73.5)	0.33	35 (71.4)	15 (78.9)
<b>Major clinical presentation</b>					
Cognitive decline /behavior changes	NA	11 (16.2)		7 (14.3)	4 (21.1)
Focal neurological deficits	NA	42 (61.8)		31 (63.3)	11 (57.9)
Headache	NA	8 (11.8)	NA	6 (12.2)	2 (10.5)
Transient focal neurological episodes	NA	2 (2.9)		2 (4.1)	0
Unconsciousness	NA	5 (7.4)		3 (6.1)	2 (10.5)
<b>Past history</b>					
Hypertension	38 (40.0)	48 (70.6)	<0.001	36 (73.5)	12 (63.2)
Diabetes mellitus	14 (14.7)	15 (22.1)	0.23	11 (22.4)	4 (21.1)
Dyslipidemia	17 (17.9)	7 (10.3)	0.18	7 (14.3)	0
Pre-existing dementia	0	10 (14.7)	<0.001	4 (8.2)	6 (31.6)
Smoking	32 (33.7)	16 (23.5)	0.16	11 (22.4)	5 (26.3)
Antiplatelet drug use	10 (10.5)	21 (30.9)	0.001	16 (32.7)	5 (26.3)
Anticoagulant drug use	1 (1.1)	4 (5.9)	0.16	3 (6.1)	1 (5.3)
<b>Brain MRI findings</b>					
Lobar CMBs count	0 (0-0)	13.0 (5-64)	<0.001	22 (5-73)	10 (5-43)
cSS presence	2 (2.1)	26 (38.2)	<0.001	16 (32.7)	10 (52.6)
cSS extent	0 (0-0)	0 (0-1)	<0.001	0 (0-1)	1 (0-2)
WMH Fazekas score	2 (2-3)	4 (4-6)	<0.001	4 (4-5)	4 (4-6)
CSO-PVS	2 (1-2)	2 (2-3)	0.001	2 (2-2)	3 (2-3)
Lacune	0 (0-0)	1 (0-2)	<0.001	1 (0-2)	0 (0-1)
Total MRI burden of SVD	1 (0-2)	3.5 (3-4)	<0.001	3 (3-4)	4 (4-5)
<b>Blood biomarkers</b>					
Neurofilament light chain, pg/mL	14.3(10.3-20.4)	70.1 (22.3-320.0)	<0.001	36.6 (19.9-106.0)	368.6 (123.3-790.9)
β-amyloid 40, pg/mL	229.3 ± 61.9	243.3 ± 71.9	0.19	244.9 ± 57.5	239.1 ± 101.9
β-amyloid 42, pg/mL	10.1 ± 2.4	10.2 ± 3.0	0.79	10.5 ± 2.7	9.5 ± 3.6
β-amyloid 42/40	0.047 ± 0.015	0.043 ± 0.006	0.035	0.043 ± 0.007	0.042 ± 0.005
Total tau, pg/mL	2.9 (2.2-4.1)	3.7 (2.6-4.8)	0.011	3.7 (2.6-4.3)	4.4 (2.6-5.7)

Abbreviations: CAA, cerebral amyloid angiopathy; ICH, intracerebral hemorrhage; CMBs, cerebral microbleeds; cSS, cerebral superficial siderosis; WMH, white matter hyperintensities; CSO-PVS, centrum semiovale perivascular space; SVD, small vessel disease.

Data are shown as n (%) for categorical variables, mean ± SD for normally distributed continuous variables or median (interquartile range) for non-normally distributed continuous variables.

**Table 2. Cox regression models for ICH recurrence after first-ever probable CAA-ICH.**

Variables	Univariable analyses		Multivariable analyses*	
	HR (95% CI)	P Value	HR (95% CI)	P Value
<b>Clinical and imaging risk factors for CAA-ICH recurrence</b>				
Age (per year increase)	1.06 (1.01–1.11)	0.032		
Sex (male vs. female)	0.79 (0.26–2.40)	0.67		
Pre-existing dementia (yes vs. no)	2.19 (0.81–5.90)	0.12		
Total MRI burden of SVD (per point increase)	1.77 (1.21–2.60)	0.003	1.77 (1.21–2.60)	0.003
cSS presence (yes vs. no)	1.77 (0.72–4.39)	0.22		
Disseminated cSS (yes vs. no)	2.15 (0.83–5.56)	0.12		
Lobar CMBs count (per CMB increase)	0.99 (0.98–1.00)	0.17		
WMH Fazekas score (per point increase)	0.98 (0.70–1.38)	0.90		
CSO-PVS (per point increase)	1.85 (1.08–3.18)	0.026		
<b>Blood biomarkers for CAA-ICH recurrence†</b>				
Total MRI burden of SVD (per point increase)	1.77 (1.21–2.60)	0.003	2.31 (1.39–3.83)	0.001
Neurofilament light chain	2.03 (1.5–2.74)	<0.001	2.14 (1.57–2.93)	<0.001
β-amyloid 40	0.23 (0.04–1.41)	0.11		
β-amyloid 42	0.12 (0.02–0.64)	0.013		
β-amyloid 42/40	0.22 (0.01–6.20)	0.38		
Total tau	1.71 (0.71–4.13)	0.24		

Abbreviations: CAA, cerebral amyloid angiopathy; ICH, intracerebral hemorrhage; HR, hazard ratio; SVD, small vessel disease; CMBs, cerebral microbleeds; cSS, cerebral superficial siderosis; WMH, white matter hyperintensities CSO-PVS, centrum semiovale perivascular space.

\*The multivariable models included only the covariables for which HRs were provided.

†All variables of blood biomarkers were continuous and Log-transformed.

with no differences in Aβ42 (mean ± SD, 10.1±2.4 vs. 10.2±3.0 pg/mL, p=0.79) or Aβ40 (mean ± SD, 229.3±61.9 vs. 243.3±71.9 pg/mL, p=0.19) alone (Table 1).

### Clinical model for ICH recurrence prediction in CAA

All the potential clinical and imaging risk factors for recurrent ICH were assessed in our cohort. Total MRI burden of SVD predicted risk of ICH recurrence best (adjusted hazard ratio [HR] 1.77, 95% confidence intervals [CI] 1.21-2.60, P=0.003), none of other clinical or imaging markers contributing with additional predictive value (Table 2). Model 1 of total MRI burden of SVD was generated with Harrell’s concordance index (c-index) of 0.77 (95% CI, 0.62-0.92) (Figure 2A).

### Blood biomarkers for ICH recurrence prediction in CAA

Then, blood biomarkers of Aβ42 and NfL were associated with CAA-ICH recurrence in univariable analyses. Since there were only 19 ICH recurrences in our cohort, a maximum of two predictors were allowed in the multivariable model. Multivariable Cox regression analyses with forward selection demonstrated that blood NfL was associated with CAA-ICH recurrence (adjusted HR 2.14, 95% CI 1.57-

2.93) independent of total MRI burden of SVD (adjusted HR 2.31, 95% CI 1.39-3.83) (Table 2). After adding NfL to the clinical model (Model 1), Model 2 of total MRI burden of SVD and NfL showed c-index of 0.88 (95% CI, 0.73-1.00) with improvement compared to Model 1 (P =0.019) (Figure 2B). The calibration curve for probability of recurrent ICH from internal bootstrap validation demonstrated satisfactory agreement between actual and predicted probabilities (Figure 2A, 2B).

When plotting the receiver operating characteristic (ROC) curve, the area under the curve of blood NfL for predicting ICH recurrence in cases with CAA-ICH was 0.84 (95% CI 0.74-0.93, p<0.001, Figure 3A). In Kaplan–Meier analysis, cases with blood NfL level above the highest tertile (>320.0 pg/mL) had shorter ICH-free survival time than those with NfL below 320.0 pg/mL (log-rank test, P <0.001, Figure 3B).

### Association of NfL and ICH volume, baseline NIHSS and clinical outcome

Further, blood NfL level was well correlated with ICH lesion volume (adjusted coefficient 4.71, 95% CI 0.68-8.73), baseline NIH Stroke Scale (NIHSS) score (adjusted odds ratio [OR] 1.68, 95% CI 1.22-2.31) and 6-month modified Rankin scale (mRS) score (adjusted

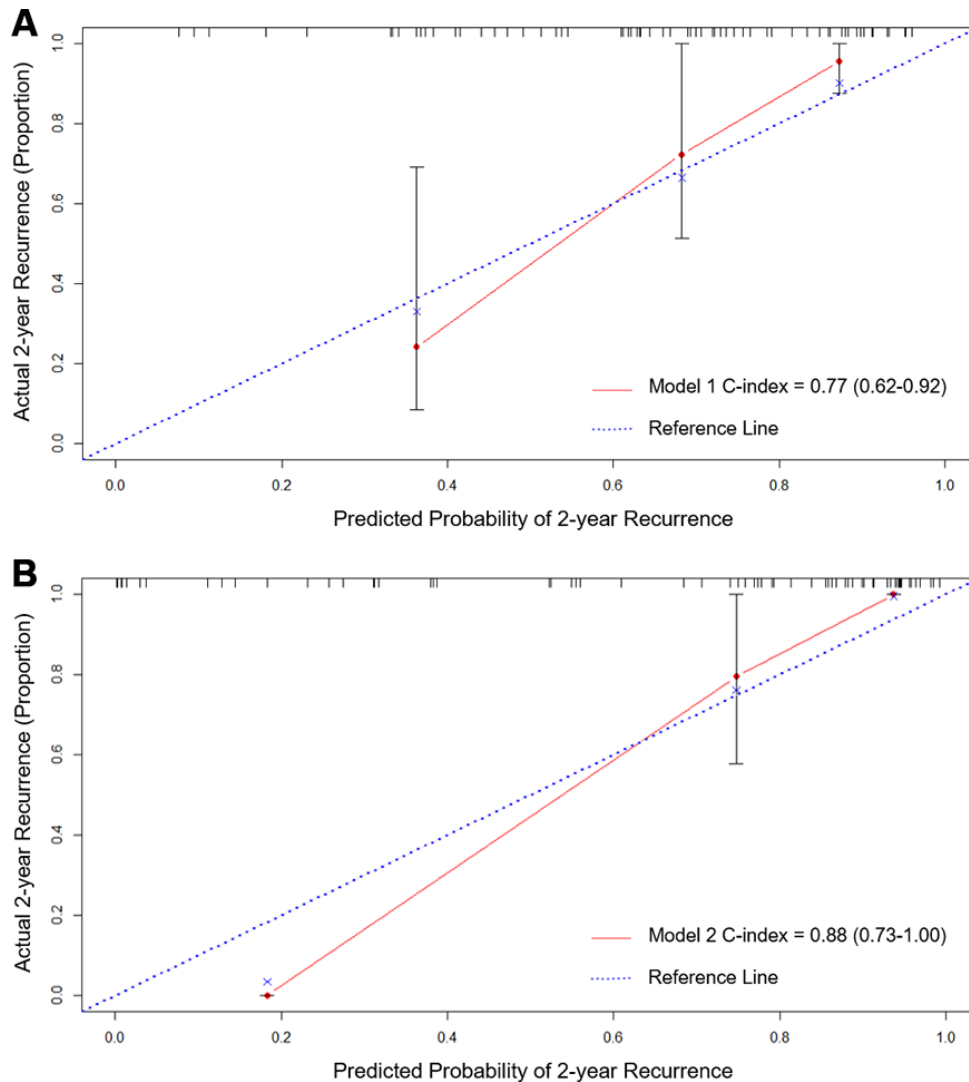
OR 1.75, 95% CI 1.22-2.51) in multivariable analyses (Table 3).

## DISCUSSION

In this study, we established a prospective cohort of cases diagnosed with first probable CAA-ICH in China, and explored SVD imaging markers and blood biomarkers of A $\beta$ 42, A $\beta$ 40, total tau and NfL for prediction of clinical outcomes in CAA. Blood biomarkers of A $\beta$ 42/40, total tau and NfL changed between CAA-ICH and controls. For predicting ICH recurrence in CAA, total MRI burden of SVD and NfL were the best clinical and blood biomarkers respectively. The performance of a model to predict CAA-ICH recurrence using MRI burden of SVD alone increased with the addition of NfL (c-index from

0.77 to 0.88,  $p=0.019$ ). NfL also correlated to disease severity and clinical outcomes in CAA. We demonstrated that blood NfL assist the prediction of ICH recurrence and clinical outcomes, as well as assessment of clinical severity in CAA.

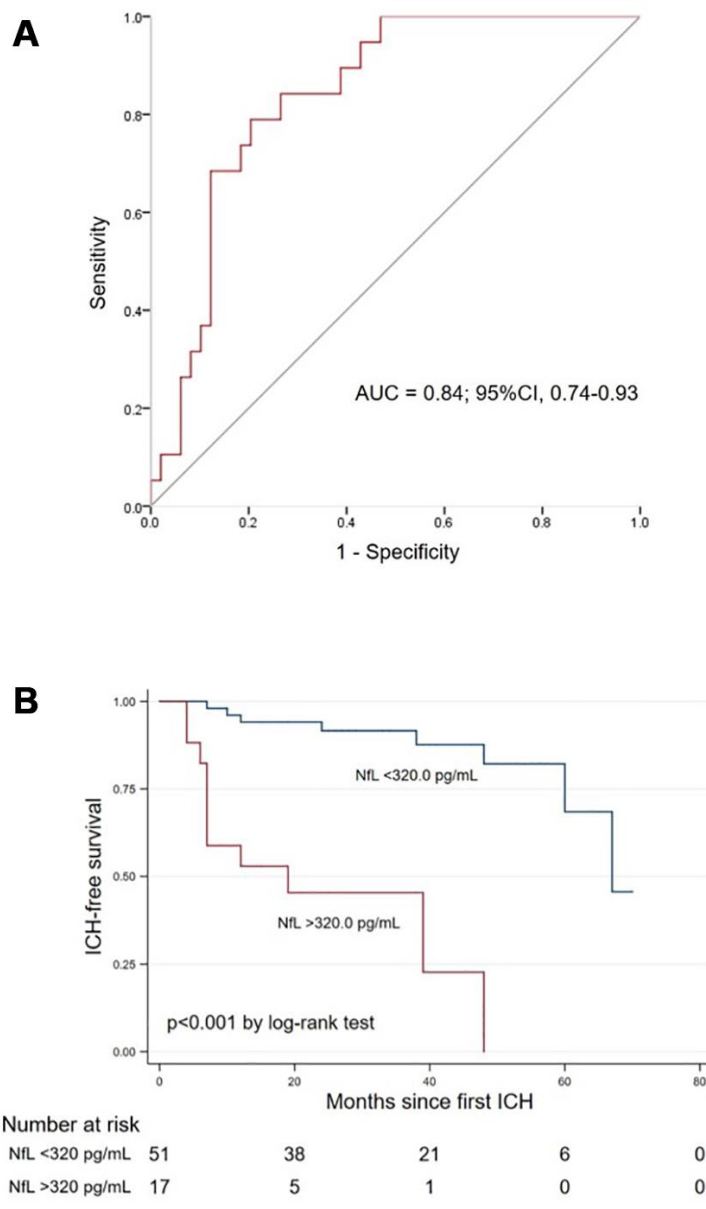
CAA occupies 14.6% in all subtypes of ICH in Chinese population [15], but to our knowledge no prospective Chinese CAA cohort with ICH recurrence has been reported. The rate of ICH recurrence in our study was 27.9% after a median follow-up of 2.4 years, similar to that of Massachusetts General Hospital (MGH) cohort. Our study found that total MRI burden of SVD other than any single SVD marker, was the only imaging biomarker of recurrent CAA-ICH. This cumulative score exerted gross effects of SVD on brain[16].



**Figure 2.** Calibration curves of Model 1 (A: total MRI burden of SVD) and Model 2 (B: total MRI burden of SVD and blood NfL) for predicting CAA-ICH recurrence using internal bootstrap validation. Abbreviations: CAA, cerebral amyloid angiopathy; ICH, intracerebral hemorrhage; SVD, small vessel disease; NfL, neurofilament light chain.

Previous studies found that it was independently associated with CAA-related vasculopathic changes and symptomatic CAA-ICH in a neuropathologic cohort and predicted dementia conversion in CAA patients without ICH [16, 17]. It was also related to higher ICH recurrence risk in a large prospective cohort from MGH, but its effect was mainly from the disseminated cSS [2, 18]. Although cSS has been determined as the most important MRI prognostic factor of recurrent ICH in CAA from a meta-analysis [2], there are several different clinical features between all the reported and

our cohorts. Different ethnic backgrounds of Asian and White people may lead to various pathophysiological processes for SVD, since Asian patients tend to have a higher prevalence of hypertension and overall SVD load [19, 20]. Patients with CAA in our cohort showed extremely higher CMBs burden but similar cSS severity compared to those in other reported cohorts, which indicated advanced bleeding-prone microangiopathy of our patients. Further, to exclude effects of previous ICH on imaging and blood markers, we only recruited patients with first onset of probable CAA-ICH. These



**Figure 3.** (A) Receiver operating characteristic curve of blood NfL for predicting ICH recurrence in cases with CAA. (B) Kaplan–Meier curve showing the ICH-free survival probability in CAA-ICH cases with blood NfL above vs. below 320.0 pg/mL. Abbreviations: NfL, neurofilament light chain; CAA, cerebral amyloid angiopathy; ICH, intracerebral hemorrhage; AUC, area under the curve.

**Table 3. The association between blood NfL level and ICH volume, baseline NIHSS and clinical outcome.**

Variables	Unadjusted		Multivariable adjusted			
	Coefficient (95% CI)	P Value	Coefficient (95% CI)	P Value	Coefficient (95% CI)	P Value
Log NfL and ICH volume	5.95 (2.33–9.56)	0.002	5.76 (2.12–9.41)*	0.002	4.71 (0.68–8.73)†	0.023
Variables	OR (95% CI)	P Value	OR (95% CI)	P Value	OR (95% CI)	P Value
Log NfL and baseline NIHSS (per point increase)	1.88 (1.37–2.58)	<0.001	1.83 (1.34–2.52)*	<0.001	1.68 (1.22–2.31)#	0.002
Log NfL and 6-month mRS (per point increase)	2.28 (1.63–3.18)	<0.001	2.26 (1.61–3.17)*	<0.001	1.75 (1.22–2.51)†	0.002

Abbreviation: NfL, neurofilament light chain; ICH, intracerebral hemorrhage; OR, odds ratio; NIHSS, NIH stroke scale; mRS, modified Rankin scale.

\*Adjusted for total MRI burden of SVD.

†Adjusted for age, baseline NIHSS and total MRI burden of SVD.

#Adjusted for age, ICH volume and total MRI burden of SVD.

might explain that total MRI burden of SVD instead of cSS was predictive of ICH recurrence in CAA in our cohort.

Emerging data focus on the MRI signatures for CAA, while the utility of blood biomarkers in CAA is still not well validated. NfL was identified to be an independent biomarker of recurrent ICH for CAA in our cohort. It was also related to the baseline disease severity, lesion volume, and clinical outcomes at 6 months. Our findings well correlated with another study of patients with recent small subcortical infarcts, which demonstrated baseline NfL level was associated with infarct size and the occurrence of new lesion at 3 months [21]. Measuring NfL is of great help for the risk stratification in CAA-ICH patients, especially in those who could not take MRI scan in the acute phase of ICH, although the cost is relatively expensive. As a biomarker of the axonal injury, elevated blood NfL levels are observed in almost all neurodegenerative disorders as well as vascular conditions [14]. CAA is a SVD disease intermixed with the neurodegenerative process, but previous studies mostly focused on the pathophysiological process of A $\beta$ -triggered vascular damage [8]. Our findings demonstrate that the neuroaxonal degeneration does prospectively contribute to the development of ICH in CAA, emphasizing the importance of pathologies other than A $\beta$ . The neuroaxonal injury could be a potential therapeutic target for CAA-ICH.

Compared to controls, patients with CAA showed elevated total tau and decreased A $\beta$ 42/A $\beta$ 40 levels with no differences in the levels of A $\beta$ 42 or A $\beta$ 40 itself alone. None of A $\beta$ 42, A $\beta$ 40 or tau was independently predictive of ICH recurrence in CAA. Since all the blood samples of patients with CAA were collected at the acute phase of ICH, it was hard to tell whether CAA or the event of ICH lead to the

change of levels. The blood A $\beta$ 42/A $\beta$ 40 ratio might eliminate the effect of acute stroke event itself. Previous data confirmed that the decreased plasma A $\beta$ 42/A $\beta$ 40 accurately diagnosed brain amyloidosis in cognitively normal participants [22] and the decreased cerebrospinal fluid (CSF) A $\beta$ 42 and A $\beta$ 40 (the A $\beta$ 42/A $\beta$ 40 was not studied) served as biomarkers for diagnosing CAA [6]. Our findings raised the possibility that blood A $\beta$ 42/A $\beta$ 40 might help distinguish CAA from controls as blood A $\beta$  detected by SIMOA was well correlated with CSF A $\beta$  [23]. Blood taken from CAA-ICH more than 6 months after the hemorrhagic event or from CAA with cognitive decline were needed to confirm its diagnostic value.

Our study has several limitations. First, the hospital-based recruitment from a specific geographical area might limit the generalizability of our results, and the number of patients with probable CAA-ICH in our study was not that large as that of MGH cohort [2]. We designed a prospective cohort to demonstrate the CAA-ICH recurrence rate and relevant predictors in China with 2.4 years' follow-up. Even in this relatively small sample, we were able to determine the novel blood biomarker to predict recurrent ICH in CAA. Second, we did not collect blood samples from patients with other types of ICH as comparisons, so it was hard to explore the effect of the pathophysiological process of CAA and the acute event of ICH on the levels of blood biomarkers. Third, serial blood samples were not available to determine which timepoint was the best for the prediction of clinical outcomes in CAA, since levels of most inflammatory factors varied over time after onset. For NfL, it increased starting from admission, peaked at day 7 and remained elevated till 6 months post ischemic stroke, with no differences between that of day 1, day 2 and day 3 [24, 25]. We collected blood samples between 1-3 days of ICH onset and acute

phase blood biomarkers might be of the greatest value to evaluate disease severity and prognosis.

In conclusion, acute phase blood NfL is a significant biomarker of CAA-ICH recurrence independent of total MRI burden of SVD and is associated with disease severity and clinical outcome of CAA. Thus, NfL is a promising addition to MRI burden of SVD to predict CAA-ICH recurrence.

## MATERIALS AND METHODS

### Study population

We prospectively collected cases with first CAA-ICH admitted at Huashan Hospital Fudan University (Shanghai, China) from January 2014 to September 2018 and at First Affiliated Hospital of University of Science and Technology of China (Hefei, China) from January 2018 to September 2018, and sex- and age-matched healthy elder adults from participants in Shanghai Aging Study during the same period as previously reported [26]. For cases with CAA, the inclusion criteria included: 1) first spontaneous lobar ICH within 48 hours of onset and pathologically or clinically diagnosed with probable CAA according to the modified Boston criteria [27]; 2) cases survived the first 30 days; 3) available blood samples within 24 hours after admission, CT scan within 24-48 hours and MR images including T1, T2, T2 fluid attenuated inversion recovery (FLAIR) and susceptibility weighted imaging (SWI) within 14 days after the event; 4) available follow-up information for mRS at six months and recurrent ICH. We personally inquired about the detailed medical history and examined the patient at baseline. The assessment of pre-existing dementia was done at baseline with the short version of the Informant Questionnaire on Cognitive Decline in the Elderly (IQCODE) [28]. All patients were regularly followed-up every six months by phone calls or face-to-face interview until the ICH recurrence, death or October 2019. ICH recurrence was defined as a new symptomatic lobar ICH event confirmed by corresponding lesion on CT or SWI scan during follow-up period [2]. The current study was approved by local ethics committees. The written informed consent was provided by the patient or a legally responsible relative.

### Blood samples and biomarkers measurements

Blood samples were drawn from the peripheral vein of each participant and collected in tubes with and without EDTA, within 24 hours since admission for patients with CAA and during follow-up period for healthy elder people. After centrifugation at 3,000rpm

for 15 minutes, the plasma and serum were kept at  $-80^{\circ}\text{C}$  until measurement.

All measures were performed blinded to the clinical data. Serum NfL and plasma A $\beta$ 40, A $\beta$ 42 and total tau were measured using the SIMOA NF-light assay (Cat No: 102258) and Neurology 3-Plex A (Cat No: 101995) respectively, per manufacturer instructions (Quanterix, MA, US) on a HD-1 platform at GBIO (Hangzhou, China) with dilution at 1:4 ratio. All samples were duplicated for detection and intra- and inter-assay concentration variabilities were <15%.

### MRI protocols and imaging analysis

CAA patients and healthy controls were scanned on Siemens 3.0T MRI and GE 3.0T scanner separately. Imaging included T1-weighted, T2-weighted, FLAIR and SWI, and parameters of the sequences were shown in Supplementary Materials.

Two experienced raters (Y.W. and Y.X.) blinded to the clinical history independently evaluated SVD imaging markers according to the STRIVE (STandards for Reporting Vascular changes on nEuroimaging) [29] and validated scales. If there was a disagreement a third rater (Y.S.) was consulted. CMBs which were not immediately adjacent to the lobar ICH were counted on SWI and classified into deep and lobar categories [30]. cSS presence was defined as hypointense curvilinear signal intensity in the superficial layers of the cerebral cortex on SWI, away from at least 2 sulci of the hemorrhagic lesion, and its extent was rated as focal (less than 3 sulci) or disseminated (>3 sulci) distribution on SWI [31]. PVS were measured by a 4-point scale (0 = no PVS, 1 =  $\leq 10$  PVS, 2 = 11–20 PVS, 3 = 21–40 PVS, 4 = >40 PVS) in the CSO on T2-weighted images [32] and WMH severity was evaluated using Fazekas scale on FLAIR [33], both from the ICH-free hemisphere in patients with CAA. The total MRI burden of SVD was calculated by accumulating CMBs number, WMH severity, cSS presence and extent and CSO-PVS severity, ranging from 0 to 6 points [16]. ICH volume was measured by  $1/2 * (\text{length} * \text{width} * \text{height})$  on CT scan.

### Statistical analysis

Descriptive statistics included counts with percentages [n(%)] and mean  $\pm$  SD for normally distributed continuous variables or median with IQR for non-normally distributed continuous variables. We compared demographic, clinical, imaging characteristics and the distribution of blood biomarkers between healthy controls and cases with CAA-ICH using one-way analysis of variance or Mann-Whitney test for continuous variables and  $\chi^2$  test or Fisher exact test for



categorical variables. We log-transformed blood biomarkers to obtain a normal distribution for further analyses of cases with CAA-ICH.

We used univariable and multivariable Cox regression analyses with forward selection to calculate HR with 95% CI and establish the prognostic model for CAA-ICH recurrence. First, we identified clinical and imaging variables associated with CAA-ICH recurrence ( $p < 0.05$ ) in univariable analyses and variables with known potential clinical significance (including age, sex, pre-existing dementia, cSS presence and extent, CSO-PVS severity, lobar CMBs count, WMH severity and total MRI burden of SVD) [1–3, 5] to determine the clinical model (Model 1). Then biomarkers of A $\beta$ 40, A $\beta$ 42, A $\beta$ 42/40, total tau and NfL were added to build the ‘clinical + biomarker’ model (Model 2). The performance of these prognostic models was evaluated by discrimination (c-index) and calibration (internal bootstrap validation) in R software. Comparisons of the models’ c-indexes were made using the compareC package. We explored the ability of the best biomarker in predicting recurrent ICH by a ROC curve and estimates of the area under the curve. We also analyzed the Kaplan–Meier plot with log-rank test of the predictor above and below the upper tertile. Last, we used univariable and multivariable regression models to estimate the association between the blood biomarker and baseline ICH volume, 6-month mRS (with age, baseline NIHSS score and total MRI burden of SVD as covariables), and baseline NIHSS score (with age, ICH volume and total MRI burden of SVD as covariables). Coefficient and OR with 95% CI were reported.

Statistical Analyses were performed using STATA version 16.0 (StataCorp, Ltd, College Station, TX) and R software version 3.1.2 (Institute for Statistics and Mathematics, Vienna, VIC, Austria). A two-tailed  $p < 0.05$  was considered as significant.

## Abbreviations

A $\beta$ :  $\beta$ -amyloid; CAA: cerebral amyloid angiopathy; CI: confidence interval; c-index: Harrell’s concordance index; CMB: cerebral microbleed; CSF: cerebrospinal fluid; CSO-PVS: periventricular space in the centrum semiovale; cSS: cerebral superficial siderosis; FLAIR: fluid attenuated inversion recovery; HR: Hazard ratio; ICH: intracerebral hemorrhage; IQR: interquartile range; MGH: Massachusetts General Hospital; mRS: modified Rankin scale; NfL: neurofilament light chain; NIHSS: NIH Stroke Scale; OR: odds ratio; SD: standard deviation; SVD: small vessel disease; SWI: susceptibility weighted imaging; WMH: white matter hyperintensity.

## AUTHOR CONTRIBUTIONS

X. Cheng, Y. Shen and Q. Dong designed and supervised the study. X. Cheng, Y. Su, Q. Wang, and J. Fu collected data and blood samples. Y. Wang and Y. Xia rated imaging markers. F. Gao tested blood biomarkers. X. Ye analyzed the data and prepared the tables and figures. X. Cheng, Y. Su, R.A. Salman and Q. Dong wrote the manuscript.

## CONFLICTS OF INTEREST

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

## FUNDING

This study is funded by National Key R&D Program of China (2016YFC1300500-3, 2017YFC1308201), National Natural Science Foundation of China (81971123), Shanghai Rising-Star Program (15QA1400900), Shanghai Municipal Science and Technology Major Project (2018SHZDZX01), ZJLab and Research Center on Aging and Medicine, Fudan University.

## REFERENCES

1. Biffi A, Halpin A, Towfighi A, Gilson A, Busl K, Rost N, Smith EE, Greenberg MS, Rosand J, Viswanathan A. Aspirin and recurrent intracerebral hemorrhage in cerebral amyloid angiopathy. *Neurology*. 2010; 75:693–98.  
<https://doi.org/10.1212/WNL.0b013e3181eee40f>  
PMID:20733144
2. Charidimou A, Boulouis G, Roongpiboonsopit D, Xiong L, Pasi M, Schwab KM, Rosand J, Gurol ME, Greenberg SM, Viswanathan A. Cortical superficial siderosis and recurrent intracerebral hemorrhage risk in cerebral amyloid angiopathy: large prospective cohort and preliminary meta-analysis. *Int J Stroke*. 2019; 14:723–33.  
<https://doi.org/10.1177/1747493019830065>  
PMID:30785378
3. Charidimou A, Boulouis G, Greenberg SM, Viswanathan A. Cortical superficial siderosis and bleeding risk in cerebral amyloid angiopathy: A meta-analysis. *Neurology*. 2019; 93:e2192–e2202.  
<https://doi.org/10.1212/WNL.0000000000008590>  
PMID:31732564
4. Biffi A, Anderson CD, Battey TW, Ayres AM, Greenberg SM, Viswanathan A, Rosand J. Association between blood pressure control and risk of recurrent intracerebral hemorrhage. *JAMA*. 2015; 314:904–12.

- <https://doi.org/10.1001/jama.2015.10082>  
PMID:[26325559](https://pubmed.ncbi.nlm.nih.gov/26325559/)
5. Greenberg SM, Eng JA, Ning M, Smith EE, Rosand J. Hemorrhage burden predicts recurrent intracerebral hemorrhage after lobar hemorrhage. *Stroke*. 2004; 35:1415–20.  
<https://doi.org/10.1161/01.STR.0000126807.69758.0e>  
PMID:[15073385](https://pubmed.ncbi.nlm.nih.gov/15073385/)
6. Charidimou A, Friedrich JO, Greenberg SM, Viswanathan A. Core cerebrospinal fluid biomarker profile in cerebral amyloid angiopathy: a meta-analysis. *Neurology*. 2018; 90:e754–62.  
<https://doi.org/10.1212/WNL.0000000000005030>  
PMID:[29386280](https://pubmed.ncbi.nlm.nih.gov/29386280/)
7. Zlokovic BV. Neurovascular pathways to neurodegeneration in Alzheimer's disease and other disorders. *Nat Rev Neurosci*. 2011; 12:723–38.  
<https://doi.org/10.1038/nrn3114> PMID:[22048062](https://pubmed.ncbi.nlm.nih.gov/22048062/)
8. Greenberg SM, Bacskai BJ, Hernandez-Guillamon M, Pruzin J, Sperling R, van Veluw SJ. Cerebral amyloid angiopathy and Alzheimer disease - one peptide, two pathways. *Nat Rev Neurol*. 2020; 16:30–42.  
<https://doi.org/10.1038/s41582-019-0281-2>  
PMID:[31827267](https://pubmed.ncbi.nlm.nih.gov/31827267/)
9. Hampel H, O'Bryant SE, Molinuevo JL, Zetterberg H, Masters CL, Lista S, Kiddle SJ, Batrla R, Blennow K. Blood-based biomarkers for Alzheimer disease: mapping the road to the clinic. *Nat Rev Neurol*. 2018; 14:639–52.  
<https://doi.org/10.1038/s41582-018-0079-7>  
PMID:[30297701](https://pubmed.ncbi.nlm.nih.gov/30297701/)
10. Zhong C, Zhu Z, Wang A, Xu T, Bu X, Peng H, Yang J, Han L, Chen J, Xu T, Peng Y, Wang J, Li Q, et al. Multiple biomarkers covering distinct pathways for predicting outcomes after ischemic stroke. *Neurology*. 2019; 92:e295–304.  
<https://doi.org/10.1212/WNL.0000000000006717>  
PMID:[30552300](https://pubmed.ncbi.nlm.nih.gov/30552300/)
11. Rodrigues MA, Samarasekera N, Lerpiniere C, Humphreys C, McCarron MO, White PM, Nicoll JA, Sudlow CL, Cordonnier C, Wardlaw JM, Smith C, Al-Shahi Salman R. The Edinburgh CT and genetic diagnostic criteria for lobar intracerebral haemorrhage associated with cerebral amyloid angiopathy: model development and diagnostic test accuracy study. *Lancet Neurol*. 2018; 17:232–40.  
[https://doi.org/10.1016/S1474-4422\(18\)30006-1](https://doi.org/10.1016/S1474-4422(18)30006-1)  
PMID:[29331631](https://pubmed.ncbi.nlm.nih.gov/29331631/)
12. Greenberg SM, Cho HS, O'Donnell HC, Rosand J, Segal AZ, Younkin LH, Younkin SG, Rebeck GW. Plasma beta-amyloid peptide, transforming growth factor-beta 1, and risk for cerebral amyloid angiopathy. *Ann N Y Acad Sci*. 2000; 903:144–49.  
<https://doi.org/10.1111/j.1749-6632.2000.tb06361.x>  
PMID:[10818500](https://pubmed.ncbi.nlm.nih.gov/10818500/)
13. Hernandez-Guillamon M, Delgado P, Penalba A, Rodriguez-Luna D, Molina CA, Rovira A, Alvarez-Sabin J, Boada M, Montaner J. Plasma  $\beta$ -amyloid levels in cerebral amyloid angiopathy-associated hemorrhagic stroke. *Neurodegener Dis*. 2012; 10:320–23.  
<https://doi.org/10.1159/000333811> PMID:[22261638](https://pubmed.ncbi.nlm.nih.gov/22261638/)
14. Khalil M, Teunissen CE, Otto M, Piehl F, Sormani MP, Gatteringer T, Barro C, Kappos L, Comabella M, Fazekas F, Petzold A, Blennow K, Zetterberg H, Kuhle J. Neurofilaments as biomarkers in neurological disorders. *Nat Rev Neurol*. 2018; 14:577–89.  
<https://doi.org/10.1038/s41582-018-0058-z>  
PMID:[30171200](https://pubmed.ncbi.nlm.nih.gov/30171200/)
15. Lei C, Wu B, Liu M, Tan G, Zeng Q. Pathogenesis and subtype of intracerebral hemorrhage (ICH) and ICH score determines prognosis. *Curr Neurovasc Res*. 2016; 13:244–48.  
<https://doi.org/10.2174/1567202613666160527141128>  
PMID:[27229323](https://pubmed.ncbi.nlm.nih.gov/27229323/)
16. Charidimou A, Martinez-Ramirez S, Reijmer YD, Oliveira-Filho J, Lauer A, Roongpiboonsopit D, Frosch M, Vashkevich A, Ayres A, Rosand J, Gurol ME, Greenberg SM, Viswanathan A. Total magnetic resonance imaging burden of small vessel disease in cerebral amyloid angiopathy: an imaging-pathologic study of concept validation. *JAMA Neurol*. 2016; 73:994–1001.  
<https://doi.org/10.1001/jamaneurol.2016.0832>  
PMID:[27366898](https://pubmed.ncbi.nlm.nih.gov/27366898/)
17. Xiong L, Boulouis G, Charidimou A, Roongpiboonsopit D, Jessel MJ, Pasi M, Reijmer YD, Fotiadis P, Ayres A, Merrill E, Schwab K, Blacker D, Gurol ME, et al. Dementia incidence and predictors in cerebral amyloid angiopathy patients without intracerebral hemorrhage. *J Cereb Blood Flow Metab*. 2018; 38:241–49.  
<https://doi.org/10.1177/0271678X17700435>  
PMID:[28318355](https://pubmed.ncbi.nlm.nih.gov/28318355/)
18. Boulouis G, Charidimou A, Pasi M, Roongpiboonsopit D, Xiong L, Auriel E, van Etten ES, Martinez-Ramirez S, Ayres A, Vashkevich A, Schwab KM, Rosand J, Goldstein JN, et al. Hemorrhage recurrence risk factors in cerebral amyloid angiopathy: comparative analysis of the overall small vessel disease severity score versus individual neuroimaging markers. *J Neurol Sci*. 2017; 380:64–67.  
<https://doi.org/10.1016/j.jns.2017.07.015>  
PMID:[28870591](https://pubmed.ncbi.nlm.nih.gov/28870591/)
19. Lau KK, Li L, Schulz U, Simoni M, Chan KH, Ho SL, Cheung RT, Küker W, Mak HK, Rothwell PM. Total small vessel disease score and risk of recurrent stroke:

- validation in 2 large cohorts. *Neurology*. 2017; 88:2260–67.  
<https://doi.org/10.1212/WNL.0000000000004042>  
PMID:[28515266](https://pubmed.ncbi.nlm.nih.gov/28515266/)
20. Yakushiji Y, Wilson D, Ambler G, Charidimou A, Beiser A, van Buchem MA, DeCarli C, Ding D, Gudnason V, Hara H, Imaizumi T, Kohara K, Kwon HM, et al. Distribution of cerebral microbleeds in the East and West: individual participant meta-analysis. *Neurology*. 2019; 92:e1086–97.  
<https://doi.org/10.1212/WNL.0000000000007039>  
PMID:[30709966](https://pubmed.ncbi.nlm.nih.gov/30709966/)
21. Gatteringer T, Pinter D, Enzinger C, Seifert-Held T, Kneihsl M, Fandler S, Pichler A, Barro C, Gröbke S, Voortman M, Pirpamer L, Hofer E, Ropele S, et al. Serum neurofilament light is sensitive to active cerebral small vessel disease. *Neurology*. 2017; 89:2108–14.  
<https://doi.org/10.1212/WNL.0000000000004645>  
PMID:[29046363](https://pubmed.ncbi.nlm.nih.gov/29046363/)
22. Schindler SE, Bollinger JG, Ovod V, Mawuenyega KG, Li Y, Gordon BA, Holtzman DM, Morris JC, Benzinger TL, Xiong C, Fagan AM, Bateman RJ. High-precision plasma  $\beta$ -amyloid 42/40 predicts current and future brain amyloidosis. *Neurology*. 2019; 93:e1647–59.  
<https://doi.org/10.1212/WNL.0000000000008081>  
PMID:[31371569](https://pubmed.ncbi.nlm.nih.gov/31371569/)
23. Verberk IM, Slot RE, Verfaillie SC, Heijst H, Prins ND, van Berckel BN, Scheltens P, Teunissen CE, van der Flier WM. Plasma amyloid as prescreeener for the earliest Alzheimer pathological changes. *Ann Neurol*. 2018; 84:648–58.  
<https://doi.org/10.1002/ana.25334> PMID:[30196548](https://pubmed.ncbi.nlm.nih.gov/30196548/)
24. Tiedt S, Duering M, Barro C, Kaya AG, Boeck J, Bode FJ, Klein M, Dorn F, Gesierich B, Kellert L, Ertl-Wagner B, Goertler MW, Petzold GC, et al. Serum neurofilament light: a biomarker of neuroaxonal injury after ischemic stroke. *Neurology*. 2018; 91:e1338–47.  
<https://doi.org/10.1212/WNL.0000000000006282>  
PMID:[30217937](https://pubmed.ncbi.nlm.nih.gov/30217937/)
25. Liu D, Chen J, Wang X, Xin J, Cao R, Liu Z. Serum neurofilament light chain as a predictive biomarker for ischemic stroke outcome: a systematic review and meta-analysis. *J Stroke Cerebrovasc Dis*. 2020; 29:104813.  
<https://doi.org/10.1016/j.jstrokecerebrovasdis.2020.104813> PMID:[32305278](https://pubmed.ncbi.nlm.nih.gov/32305278/)
26. Ding D, Zhao Q, Guo Q, Meng H, Wang B, Yu P, Luo J, Zhou Y, Yu L, Zheng L, Chu S, Mortimer JA, Borenstein AR, Hong Z. The Shanghai Aging Study: study design, baseline characteristics, and prevalence of dementia. *Neuroepidemiology*. 2014; 43:114–22.  
<https://doi.org/10.1159/000366163> PMID:[25376362](https://pubmed.ncbi.nlm.nih.gov/25376362/)
27. Linn J, Halpin A, Demaerel P, Ruhland J, Giese AD, Dichgans M, van Buchem MA, Bruckmann H, Greenberg SM. Prevalence of superficial siderosis in patients with cerebral amyloid angiopathy. *Neurology*. 2010; 74:1346–50.  
<https://doi.org/10.1212/WNL.0b013e3181dad605>  
PMID:[20421578](https://pubmed.ncbi.nlm.nih.gov/20421578/)
28. Jorm AF. A short form of the informant questionnaire on cognitive decline in the elderly (IQCODE): development and cross-validation. *Psychol Med*. 1994; 24:145–53.  
<https://doi.org/10.1017/s003329170002691x>  
PMID:[8208879](https://pubmed.ncbi.nlm.nih.gov/8208879/)
29. Wardlaw JM, Smith EE, Biessels GJ, Cordonnier C, Fazekas F, Frayne R, Lindley RI, O’Brien JT, Barkhof F, Benavente OR, Black SE, Brayne C, Breteler M, et al, and Standards for Reporting Vascular changes on nEuroimaging (STRIVE v1). Neuroimaging standards for research into small vessel disease and its contribution to ageing and neurodegeneration. *Lancet Neurol*. 2013; 12:822–38.  
[https://doi.org/10.1016/S1474-4422\(13\)70124-8](https://doi.org/10.1016/S1474-4422(13)70124-8)  
PMID:[23867200](https://pubmed.ncbi.nlm.nih.gov/23867200/)
30. Gregoire SM, Chaudhary UJ, Brown MM, Yousry TA, Kallis C, Jäger HR, Werring DJ. The Microbleed Anatomical Rating Scale (MARS): reliability of a tool to map brain microbleeds. *Neurology*. 2009; 73:1759–66.  
<https://doi.org/10.1212/WNL.0b013e3181c34a7d>  
PMID:[19933977](https://pubmed.ncbi.nlm.nih.gov/19933977/)
31. Charidimou A, Linn J, Vernooij MW, Opherk C, Akoudad S, Baron JC, Greenberg SM, Jäger HR, Werring DJ. Cortical superficial siderosis: detection and clinical significance in cerebral amyloid angiopathy and related conditions. *Brain*. 2015; 138:2126–39.  
<https://doi.org/10.1093/brain/awv162>  
PMID:[26115675](https://pubmed.ncbi.nlm.nih.gov/26115675/)
32. Charidimou A, Jaunmuktane Z, Baron JC, Burnell M, Varlet P, Peeters A, Xuereb J, Jäger R, Brandner S, Werring DJ. White matter perivascular spaces: an MRI marker in pathology-proven cerebral amyloid angiopathy? *Neurology*. 2014; 82:57–62.  
<https://doi.org/10.1212/01.wnl.0000438225.02729.04>  
PMID:[24285616](https://pubmed.ncbi.nlm.nih.gov/24285616/)
33. Wahlund LO, Barkhof F, Fazekas F, Bronge L, Augustin M, Sjögren M, Wallin A, Ader H, Leys D, Pantoni L, Pasquier F, Erkinjuntti T, Scheltens P, and European Task Force on Age-Related White Matter Changes. A new rating scale for age-related white matter changes applicable to MRI and CT. *Stroke*. 2001; 32:1318–22.  
<https://doi.org/10.1161/01.str.32.6.1318>  
PMID:[11387493](https://pubmed.ncbi.nlm.nih.gov/11387493/)

## SUPPLEMENTARY MATERIALS

### MRI parameters

All patients with CAA were scanned on a Siemens MAGNETOM Verio 3.0T MRI system. Parameters of the sequences were as follows: T1 MPRAGE (repetition time [TR]/echo time [TE] 1780/2.9 ms, in-plane matrix  $512 \times 512$ , slice thickness 1.0 mm), T2 SPACE (TR/TE 3000/330 ms, in-plane matrix  $320 \times 320$ , slice thickness 2.0 mm), T2 FLAIR (TR/TE 9000/99 ms, in-plane matrix  $512 \times 512$ , slice thickness 2.0 mm) and SWI (TR/TE 28/20 ms, in-plane matrix  $350 \times 448$ , slice thickness 1.5 mm) sequences.

For healthy controls, MRIs were obtained by GE MR750 discovery 3.0T scanner, including T1 BRAVO (TR/TE 8.2/3.2 ms, in-plane matrix  $512 \times 512$ , slice thickness 1.0 mm), T2 PROPELLER (TR/TE 10400/80 ms, in-plane matrix  $512 \times 512$ , slice thickness 2.0 mm), Cor CUBE FLAIR (TR/TE 6000/90 ms, in-plane matrix  $512 \times 512$ , slice thickness 2.0 mm) and SWI (TR/TE 78/45 ms, in-plane matrix  $512 \times 512$ , slice thickness 2.0 mm) sequences.